

# ANNUAL REPORT OF CLEARING AGENCY

**CERTIFICATION:** I certify that this report has been prepared by me or under my direction and that to the best of my knowledge and belief, this report correctly reflects the operations of the reporting firm.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0580-0015. The time requested to complete this information is estimated to average 1 hour per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

**2. LIST PERIOD COVERED BY THIS REPORT:**

**RETURN COMPLETED FORM TO THE APPROPRIATE REGIONAL OFFICE; SEE SEPARATE INSTRUCTIONS FOR INFORMATION.**

5. SIGNATURE (Owner, Partner, Officer)

☐ ASSOCIATION  
☐ CORPORATION  
☐ INDIVIDUAL  
☐ L.L.C.  
☐ L.L.P.  
☐ PARTNERSHIP  
☐ OTHER \_\_\_\_\_ (Specify)

12 b. IF YES, PROVIDE DETAILS

## d. SOCIAL SECURITY NUMBER\*

c. GROSS VALUE OF LIVESTOCK

d. TOTAL	\$
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NOTE: A copy of financial statement or audit report, if available for the period covered by this report, may be furnished in lieu of the Balance Sheet and Statement of Operations; PROVIDED, information requested herein but not shown in such statement or reports is furnished under Sections 3 and 4.

SECTION 3 - BALANCE SHEET AS OF CLOSE OF REPORTING PERIOD

ASSETS

A. CURRENT ASSETS

Cash.....		
On hand.....	15. \$	
In bank (per book).....	16.	
Inventories		
Livestock.....	17.	
Feed.....	18.	
Marketable Securities: U.S. Government Bonds and others.....	19.	
Accounts Receivable.....		
One year or less.....	20.	
More than one year old.....	21.	
Subtotal (20 and 21).....	22.	
Less allowance for doubtful account.....	23. (	)
Notes receivable due within one year (including current portion, due within one year, of long-term notes).....	24.	
Other current assets		
Prepaid expenses.....	25.	
Interest receivable .....	26.	
Other (specify) .....	27.	
TOTAL CURRENT ASSETS		28. \$

B. OTHER ASSETS

Investments.....	29. \$	
Fixed assets		
Land.....	30. \$	
Buildings, structures, and equipment	31. \$	
Less allowance for depreciation	32.	33.
Long-term notes receivable not due within one year (Excluding portion included in item 24 above).....	34. \$	
Other.....	35.	
Total other assets.....		36.

C. TOTAL ASSETS

37. \$

LIABILITIES AND NET WORTH

D. CURRENT LIABILITIES

Bank overdraft (per book)		38. \$
Payables, accruals, and other current liabilities		
Due to trade.....	39. \$	
Due to affiliates and subsidiaries.....	40.	
Due to officers.....	41.	
Due to employees .....	42.	
Advances and deposits on livestock.....	43.	
Taxes.....	44.	
Demand notes payable.....	45.	
Notes, mortgages, and bonds due within one year (Including payments on long-term debts due within one year).....	46.	
Other.....	47.	48.
Total current liabilities.....		49. \$

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**SECTION 3 - BALANCE SHEET (Continued)**

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**E. OTHER LIABILITIES**

Long-term notes, mortgages, and bonds payable (Excluding payments due

within one year, included in item line 46).....

50. \$ \_\_\_\_\_

Other (Specify) \_\_\_\_\_

51. \_\_\_\_\_

Total other liabilities .....

52. \_\_\_\_\_

**F. TOTAL LIABILITIES**

53. \_\_\_\_\_

**G. NET WORTH**

If incorporated:

Capital stock.....

54. \$ \_\_\_\_\_

Retaining earnings.....

55. \_\_\_\_\_

If unincorporated:

Owner's capital .....

56. \_\_\_\_\_

Undivided profits (+) or less (-).....

57. \_\_\_\_\_

Total net worth.....

58. \_\_\_\_\_

**H. TOTAL LIABILITIES AND NET WORTH**

59. \_\_\_\_\_

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**SECTION 4 - STATEMENT OF OPERATIONS**

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**A. INCOME**

Clearing fees.....

60. \$ \_\_\_\_\_

Interest received.....

61. \_\_\_\_\_

Bonuses, profits, or salary received from others.....

62. \_\_\_\_\_

Other income .....

63. \_\_\_\_\_

Total income.....

54. \$ \_\_\_\_\_

**B. EXPENSES**

Salaries and bonuses of owners and officers.....

65. \$ \_\_\_\_\_

Salaries and bonuses paid to employees.....

66. \_\_\_\_\_

Rent.....

67. \_\_\_\_\_

Taxes.....

68. \_\_\_\_\_

Interest paid .....

69. \_\_\_\_\_

Split or profits, bonuses, etc., paid to others (Specify) \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

70. \_\_\_\_\_

Other .....

71. \_\_\_\_\_

Total expenses.....

72. \_\_\_\_\_

**C. NET PROFIT (+) OR LOSS (-)**

73. \$ \_\_\_\_\_